



# Central Depository Services (India) Limited

CDSL/A&I/PEN-TARIFF/UMC/2023-24

May 30, 2023



A C AGARWAL SHARE BROKERS PRIVATE LIMITED  
71300  
406, PAYAL COMPLEX,  
SAYAJIGUNJ  
0  
BARODA 390005

**Kind Attention: Compliance Officer**

Dear Sir/Madam,

**Sub: Imposition of penalty**

This is in reference to SEBI Circular no. MRD/Dep/Cir-20/06 dated December 11, 2006 regarding the submission / dissemination of DP tariff / charges structure to CDSL latest by April 30 every year and CDSL's communiqué nos. CDSL/OPS/DP/EASI/2023/237 dated April 18, 2023 regarding the procedure for initial set up and modification of details submitted to CDSL.

2. However, we wish to bring to your notice that we have not received a tariff structure report from you within the stipulated time and therefore monetary penalty of **Rs. 500/-** as provided in the operating instructions has been imposed upon you.

3. Please note that the aforesaid penalty has been included in the monthly bill of May 2023. You are requested to make payment of the aforesaid penalty amount immediately on receipt of the bill. Please also note that if the penalty amount is not paid within a month of the due date of the bill, the matter will be reported to the Member Committee.

Thanking you.

Yours faithfully,  
For **Central Depository Services (India) Limited**

Sd/-

**Authorized Signatory**  
**Audit, Inspection & Compliance**